1. NIH Public Access Policy

Encourage researchers at the beginning of the project period to send new publications to your administrative office.

2. Grant Acknowledgment

Equip researchers with compliance information, by using tools such as workshops.

Promote the importance of compliance by informing University officials to take action.

Express the importance of using the RCMI Acknowledgement.
The NIH Public Access Policy

The NIH Public Access Policy is Mandatory

The NIH Public Access Policy Applies to Any Final Manuscript That...

Is peer-reviewed;

And, is accepted for publication in a journal on or after April 7, 2008;

And, arises from:
  • Any direct funding from an NIH grant or cooperative agreement active in Fiscal Year 2008 or beyond, or;
  • Any direct funding from an NIH contract signed on or after April 7, 2008, or;
  • Any direct funding from the NIH Intramural Program, or;
  • An NIH employee.

http://publicaccess.nih.gov/
1. Address Copyright
Institutions and investigators are responsible for ensuring full compliance with the Public Access Policy (e.g., that any publishing or copyright agreements are consistent with submitting to PMC).

2. Deposit Paper Upon Acceptance for Publication

   Method A: Publish in a journal that deposits all NIH-funded final published articles in PMC without author involvement.

   Method B: Make arrangements to have a publisher deposit a specific final published article in PMC.

   Method C: Deposit the final peer-reviewed manuscript in PMC yourself via the NIHMS.

   Method D: Complete the submission process for a final peer-reviewed manuscript that the publisher has deposited via the NIHMS.

3. Cite Article
Include the PMC number (PMCID) for applicable papers in applications, proposals and reports, as described at http://publicaccess.nih.gov/citation_methods.htm. The PubMed Central reference number (PMCID) is different from the PubMed reference number (PMID). PubMed Central is an index of full-text papers, while PubMed is an index of abstracts. The PMCID links to full-text papers in PubMed Central, while the PMID links to abstracts in PubMed. PMIDs have nothing to do with the NIH Public Access Policy.

PMID vs. PMCID

The PubMed Central reference number (PMCID) is different from the PubMed reference number (PMID). PubMed Central is an index of full-text papers, while PubMed is an index of abstracts. The PMCID links to full-text papers in PubMed Central, while the PMID links to abstracts in PubMed. PMIDs have nothing to do with the NIH Public Access Policy.
Before an author signs a publication agreement or similar copyright transfer agreement, make sure that the agreement allows the final peer-reviewed manuscript to be submitted to NIH in accordance with the Public Access Policy.

Individual copyright arrangements can take many forms, and authors and their institutions should continue to manage such arrangements as they have in the past. Institutions and investigators may wish to develop particular copyright agreement terms in consultation with their own legal counsel or other applicable official at their institution, as appropriate.

As an example, the kind of language that an author or institution might add to a copyright agreement includes the following:

"Journal acknowledges that Author retains the right to provide a copy of the final peer-reviewed manuscript to the NIH upon acceptance for journal publication, for public archiving in PubMed Central as soon as possible but no later than 12 months after publication by Journal."

Since its creation in 2000, PubMed Central (PMC) has served as a free digital archive of full-text biomedical and life sciences journal literature at the U.S. National Institutes of Health (NIH). PMC is developed and managed by NIH’s National Center for Biotechnology Information (NCBI) within the National Library of Medicine (NLM).

Since 2005, PMC has also been the designated repository for papers submitted in accordance with the NIH Public Access Policy and for those that fall under similar policies from other funding agencies.

The National Institutes of Health (NIH) Public Access Policy requests that researchers funded by NIH submit their final, peer-reviewed manuscripts, which have been accepted for publication, to PubMed Central (PMC). To facilitate this request, NIH has developed the NIH Manuscript Submission System (NIHMS), which allows users to deposit and manage manuscripts.
Four different submission methods have emerged, which vary in:

- Version posted
- Use of the NIH Manuscript Submission System (NIHMS)
- Role of Publishers
- Role of Authors
- Participating Journals

Authors may use whichever method is most appropriate for them and consistent with their publishing agreement.

Overview of Submission Methods

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Task 1: Who deposits the paper?
- Publisher direct to PMC
- Publisher direct to PMC
- Author or designee, via NIHMS
- Publisher, via NIHMS

Task 2: Who approves paper for processing?
- Not Applicable
- Author, via NIHMS
- Author, via NIHMS
- Author, via NIHMS

Task 3: Who approves paper for Pub Med Central display?
- Not Applicable
- Author, via NIHMS
- Author, via NIHMS
- Author, via NIHMS

Participating Journals

These are the only publisher programs that have this arrangement with NIH are:

- ACS AuthorChoice
- APA Open Access
- BMJ Unlocked
- Cambridge Open Access
- European Society of Endocrinology Open Access
- IUCr Open Access
- NPG Open Access
- Portland Press Opt2Pay
- Royal College of Psychiatrists Open Access
- Society for Endocrinology Open Access
- Society for Reproduction and Fertility Open Access
- Springer Open Choice
- Taylor & Francis OpenAccess
- Wiley-Blackwell Online Open

http://publicaccess.nih.gov/submit_process.htm
When citing a paper in NIH applications, proposals, and progress reports, include the PMCID at the end of the full citation.

This requirement only applies to papers that fall under the Policy and are authored or co-authored by you or arose from your NIH award.

For more information see http://publicaccess.nih.gov/citation_methods.htm.

Example


For Method A and B Journals, use “PMC Journal - In Process”.

Example: Sala-Torra O, Gundacker HM, Stirewalt DL, Ladne PA, Pogosova-Agadjanyan EL, Slovak ML, Willman CL, Heimfeld S, Boldt DH, Radich JP. Connective tissue growth factor (CTGF) expression and outcome in adult patients with acute lymphoblastic leukemia. Blood. [publication date within 3 months of when the application, proposal or report was submitted to NIH]. PMCID: PMC Journal - In Process

For Method C and D Journals, use the NIHMSID.


NIHMSIDs will not be accepted 3 months after publication.

PMCIDs are assigned around the time of publication. Please use the PMCID once it is assigned.

http://publicaccess.nih.gov/
Acknowledgments

Demonstrating Compliance with the RCMI Program

Grant Acknowledgment

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Tools & Resources

Helpful Tools for Administrators

- Cross check with University library system
- Library reference tools (i.e., Scopus)
- Collect accepted publications directly from researchers electronically, separated by grant year
- Submit on behalf of your researchers
- Offers services periodically that collect information quarterly for submission

Howard University’s Approach

http://libguides.howard.edu/nihpublicaccess
Other Approaches to Compliance

- Howard University’s College of Medicine has considered policy to tied compliance to promotion and tenure
- Compile a “Terms and Conditions” policy for usage to other RCMI researchers using core faculties
- Use resources such as RTRN Profiles or internal databases to keep record

Suggestions

- What are other approaches from RCMI Institutions?

Questions
About the Public Access Policy:

- Questions: PublicAccess@NIH.GOV

The NIH Manuscript Submission System


PubMed Central:

- Information for Publishers: [http://www.pubmedcentral.nih.gov/about/pubinfo.html](http://www.pubmedcentral.nih.gov/about/pubinfo.html)

**Resources**

Panel Discussion / Q&A

Presented at the 13th RCMI International Symposium on Health Disparities | December 9-13, 2012 | San Juan, Puerto Rico

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Clark Atlanta University

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Thank you for participating!